

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁷ : H01L		A2	(11) International Publication Number: WO 00/70655
			(43) International Publication Date: 23 November 2000 (23.11.00)
(21) International Application Number: PCT/US00/12946		(81) Designated States: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).	
(22) International Filing Date: 11 May 2000 (11.05.00)			
(30) Priority Data: 09/311,126 13 May 1999 (13.05.99) US			
(71) Applicants: THE TRUSTEES OF PRINCETON UNIVERSITY [US/US]; P.O. Box 36, Princeton, NJ 08544 (US). THE UNIVERSITY OF SOUTHERN CALIFORNIA [US/US]; The University of Southern California Park, Los Angeles, CA 90089 (US).			
(72) Inventors: BALDO, Marc, A.; Princeton University, Graduate College, Princeton, NJ 08544 (US). BURROWS, Paul, E.; 413 Clarksville Road, Princeton Junction, NJ 08550 (US). FORREST, Stephen, R.; 148 Hunt Drive, Princeton, NJ 08540 (US). THOMPSON, Mark, E.; 4447 Pepper Creek Way, Anaheim, CA 92807 (US). LAMANSKY, Sergey; 112 South Greenwood Boulevard #2, Pasadena, CA 91102 (US).			
(74) Agents: MEAGHER, Thomas, F. et al.; Kenyon & Kenyon, One Broadway, New York, NY 10004 (US).			

(54) Title: **VERY HIGH EFFICIENCY ORGANIC LIGHT EMITTING DEVICES BASED ON ELECTROPHOSPHORESCENCE**

(57) Abstract

Organic light emitting devices are described wherein the emissive layer comprises a host material containing an emissive molecule, which molecule is adapted to luminesce when a voltage is applied across the heterostructure, and the emissive molecule is selected from the group of phosphorescent organometallic compounds, including cyclometallated iridium compounds and wherein the devices contain an exciton blocking layer.

BEST AVAILABLE COPY

Published

Without international search report and to be republished upon receipt of that report.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Larvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

VERY HIGH EFFICIENCY ORGANIC LIGHT EMITTING DEVICES BASED ON ELECTROPHOSPHORESCENCE

5 **I. FIELD OF INVENTION**

The present invention is directed to organic light emitting devices (OLEDs) comprised of emissive layers that contain an organometallic phosphorescent dopant compound and which devices contain an exciton blocking layer.

10 **II. BACKGROUND OF THE INVENTION**

II. A. General Background

Organic light emitting devices (OLEDs) are comprised of several organic layers in which one of the layers is comprised of an organic material that can be made to electroluminesce by applying a voltage across the device, C.W. Tang et al., Appl.

15 Phys. Lett. 1987, 51, 913. Certain OLEDs have been shown to have sufficient brightness, range of color and operating lifetimes for use as a practical alternative technology to LCD-based full color flat-panel displays (S.R. Forrest, P.E. Burrows and M.E. Thompson, Laser Focus World, Feb. 1995). Since many of the thin organic films used in such devices are transparent in the visible spectral region, they allow for the realization of a completely new type of display pixel in which red (R), green (G), and blue (B) emitting OLEDs are placed in a vertically stacked geometry to provide a simple fabrication process, a small R-G-B pixel size, and a large fill factor,

20 International Patent Application No. PCT/US95/15790.

25 A transparent OLED (TOLED), which represents a significant step toward realizing high resolution, independently addressable stacked R-G-B pixels, was reported in International Patent Application No. PCT/US97/02681 in which the TOLED had greater than 71% transparency when turned off and emitted light from both top and bottom device surfaces with high efficiency (approaching 1% quantum efficiency) when the device was turned on. The TOLED used transparent indium tin oxide (ITO) as the hole-injecting electrode and a Mg-Ag-ITO electrode layer for electron-injection. A device was disclosed in which the ITO side of the Mg-Ag-ITO layer was used as a hole-injecting contact for a second, different color-emitting OLED stacked on top of the TOLED. Each layer in the stacked OLED (SOLED) was

independently addressable and emitted its own characteristic color. This colored emission could be transmitted through the adjacently stacked, transparent, independently addressable, organic layer or layers, the transparent contacts and the glass substrate, thus allowing the device to emit any color that could be produced by varying the relative output of the red and blue color-emitting layers.

5 PCT/US95/15790 application disclosed an integrated SOLED for which both intensity and color could be independently varied and controlled with external power supplies in a color tunable display device. The PCT/US95/15790 application, thus, illustrates a principle for achieving integrated, full color pixels that provide high
10 image resolution, which is made possible by the compact pixel size. Furthermore, relatively low cost fabrication techniques, as compared with prior art methods, may be utilized for making such devices.

II.B. Background of emission

15 Devices whose structure is based upon the use of layers of organic optoelectronic materials generally rely on a common mechanism leading to optical emission. Typically, this mechanism is based upon the radiative recombination of a trapped charge. Specifically, OLEDs are comprised of at least two thin organic layers separating the anode and cathode of the device. The material of one of these layers is
20 specifically chosen based on the material's ability to transport holes, a "hole transporting layer" (HTL), and the material of the other layer is specifically selected according to its ability to transport electrons, an "electron transporting layer" (ETL). With such a construction, the device can be viewed as a diode with a forward bias when the potential applied to the anode is higher than the potential applied to the
25 cathode. Under these bias conditions, the anode injects holes (positive charge carriers) into the hole transporting layer, while the cathode injects electrons into the electron transporting layer. The portion of the luminescent medium adjacent to the anode thus forms a hole injecting and transporting zone while the portion of the luminescent medium adjacent to the cathode forms an electron injecting and
30 transporting zone. The injected holes and electrons each migrate toward the oppositely charged electrode. When an electron and hole localize on the same

molecule, a Frenkel exciton is formed. Recombination of this short-lived state may be visualized as an electron dropping from its conduction potential to a valence band, with relaxation occurring, under certain conditions, preferentially via a photoemissive mechanism. Under this view of the mechanism of operation of typical thin-layer 5 organic devices, the electroluminescent layer comprises a luminescence zone receiving mobile charge carriers (electrons and holes) from each electrode.

Light emission from OLEDs is typically via fluorescence or phosphorescence. There are issues with the use of phosphorescence. It has been noted that phosphorescent efficiency decreases rapidly at high current densities. It may be that 10 long phosphorescent lifetimes cause saturation of emissive sites, and triplet-triplet annihilation may produce efficiency losses. Another difference between fluorescence and phosphorescence is that energy transfer of triplets from a conductive host to a luminescent guest molecule is typically slower than that of singlets; the long range dipole-dipole coupling (Förster transfer) which dominates energy transfer of singlets 15 is (theoretically) forbidden for triplets by the principle of spin symmetry conservation. Thus, for triplets, energy transfer typically occurs by diffusion of excitons to neighboring molecules (Dexter transfer); significant overlap of donor and acceptor excitonic wavefunctions is critical to energy transfer. Another issue is that triplet diffusion lengths are typically long (e.g., >1400Å) compared with typical singlet 20 diffusion lengths of about 200Å. Thus, if phosphorescent devices are to achieve their potential, device structures need to be optimized for triplet properties. In this invention, we exploit the property of long triplet diffusion lengths to improve external quantum efficiency.

Successful utilization of phosphorescence holds enormous promise for organic 25 electroluminescent devices. For example, an advantage of phosphorescence is that all excitons (formed by the recombination of holes and electrons in an EL), which are (in part) triplet-based in phosphorescent devices, may participate in energy transfer and luminescence in certain electroluminescent materials. In contrast, only a small percentage of excitons in fluorescent devices, which are singlet-based, result in 30 fluorescent luminescence.

II.C. Background of materials

II.C.1. Basic heterostructures

Because one typically has at least one electron transporting layer and at least one hole transporting layer, one has layers of different materials, forming a heterostructure. The materials that produce the electroluminescent emission may be the same materials that function either as the electron transporting layer or as the hole transporting layer. Such devices in which the electron transporting layer or the hole transporting layer also functions as the emissive layer are referred to as having a single heterostructure. Alternatively, the electroluminescent material may be present in a separate emissive layer between the hole transporting layer and the electron transporting layer in what is referred to as a double heterostructure.

That is, in addition to emissive materials that are present as the predominant component in the charge carrier layer, that is, either in the hole transporting layer or in the electron transporting layer, and that function both as the charge carrier material as well as the emissive material, the emissive material may be present in relatively low concentrations as a dopant in the charge carrier layer. Whenever a dopant is present, the predominant material in the charge carrier layer may be referred to as a host compound or as a receiving compound. Materials that are present as host and dopant are selected so as to have a high level of energy transfer from the host to the dopant material. In addition, these materials need to be capable of producing acceptable electrical properties for the OLED. Furthermore, such host and dopant materials are preferably capable of being incorporated into the OLED using materials that can be readily incorporated into the OLED by using convenient fabrication techniques, in particular, by using vacuum-deposition techniques.

II.C.2. Exciton blocking layer

The exciton blocking layer used in the devices of the present invention (and previously disclosed in U.S. appl. ser. no. 09/153,144) substantially blocks the diffusion of excitons, thus substantially keeping the excitons within the emission layer to enhance device efficiency. The material of blocking layer of the present invention is characterized by an energy difference ("band gap") between its lowest unoccupied

5 molecular orbital (LUMO) and its highest occupied molecular orbital (HOMO) In accordance with the present invention, this band gap substantially prevents the diffusion of excitons through the blocking layer, yet has only a minimal effect on the turn-on voltage of a completed electroluminescent device. The band gap is thus preferably greater than the energy level of excitons produced in an emission layer, such that such excitons are not able to exist in the blocking layer. Specifically, the band gap of the blocking layer is at least as great as the difference in energy between the triplet state and the ground state of the host.

10 II.D. Color

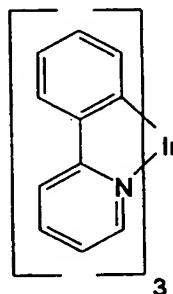
15 As to colors, it is desirable for OLEDs to be fabricated using materials that provide electroluminescent emission in a relatively narrow band centered near selected spectral regions, which correspond to one of the three primary colors, red, green and blue so that they may be used as a colored layer in an OLED or SOLED. It is also desirable that such compounds be capable of being readily deposited as a thin layer using vacuum deposition techniques so that they may be readily incorporated 20 into an OLED that is prepared entirely from vacuum-deposited organic materials.

25 U.S. Patent No. 6,048,630 is directed to OLEDs containing emitting compounds that produce a saturated red emission.

III. SUMMARY OF THE INVENTION

30 The present invention is directed to organic light emitting devices wherein the emissive layer comprises an emissive molecule, optionally with a host material (wherein the emissive molecule present as a dopant in said host material) which molecule is adapted to luminesce when a voltage is applied across the heterostructure, wherein the emissive molecule is selected from the group of phosphorescent organometallic complexes. The emissive molecule may be further selected from the group of phosphorescent organometallic iridium or osmium complexes and may be still further selected from the group of phosphorescent cyclometallated iridium or osmium complexes. A specific example of the emissive molecule is fac tris(2-

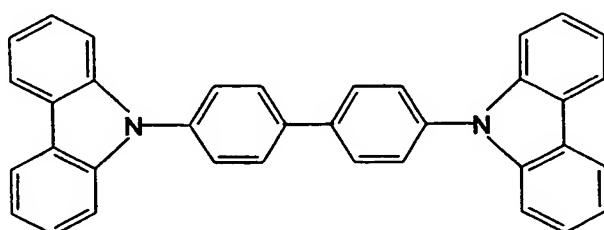
phenylpyridine) iridium, denoted (Ir(ppy)₃) of formula



5 [In this, and later figures herein, we depict the dative bond from nitrogen to metal (here, Ir) as a straight line.]

The general arrangement of the layers is hole transporting layer, emissive layer, and electron transporting layer. For a hole conducting emissive layer, one may have an exciton blocking layer between the emissive layer and the electron transporting layer. For an electron conducting emissive layer, one may have an exciton blocking layer between the emissive layer and the hole transporting layer. The emissive layer may be equal to the hole transporting layer (in which case the exciton blocking layer is near or at the anode) or to the electron transporting layer (in which case the exciton blocking layer is near or at the cathode).

15 The emissive layer may be formed with a host material in which the emissive molecule resides as a guest or the emissive layer may be formed of the emissive molecule itself. In the former case, the host material may be a hole-transporting matrix selected from the group of substituted tri-aryl amines. An example of a host material is 4,4'-N,N'-dicarbazole-biphenyl (CBP), which has the formula



20 The emissive layer may also contain a polarization molecule, present as a dopant in

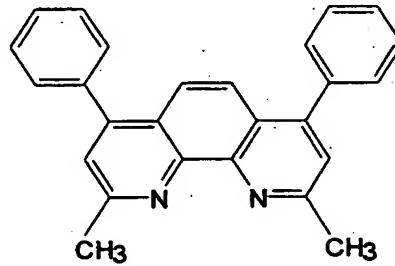
said host material and having a dipole moment, that affects the wavelength of light emitted when said emissive dopant molecule luminesces.

5 A layer formed of an electron transporting material is used to transport electrons into the emissive layer comprising the emissive molecule and the (optional) host material. The electron transport material may be an electron-transporting matrix selected from the group of metal quinoxolates, oxidazoles and triazoles. An example of an electron transport material is tris-(8-hydroxyquinoline) aluminum (Alq_3).

10 A layer formed of a hole transporting material is used to transport holes into the emissive layer comprising the emissive molecule and the (optional) host material. An example of a hole transporting material is 4,4'-bis[N-(1-naphthyl)-N-phenyl-amino] biphenyl [“ α -NPD”].

15 The use of an exciton blocking layer (“barrier layer”) to confine excitons within the luminescent layer (“luminescent zone”) is greatly preferred. For a hole-transporting host, the blocking layer may be placed between the luminescent layer and the electron transport layer. An example of a material for such a barrier layer is 2,9-dimethyl-4,7-diphenyl-1,10-phenanthroline (also called bathocuproine or BCP), which has the formula

20



25

For a situation with a blocking layer between a hole-conducting host and the electron transporting layer (as is the case in Example 1, below), one seeks the following characteristics, which are listed in order of relative importance.

1. The difference in energy between the LUMO and HOMO of the blocking layer is

greater than the difference in energy between the triplet and ground state singlet of the host material.

2. Triplets in the host material are not quenched by the blocking layer.

5

3. The ionization potential (IP) of the blocking layer is greater than the ionization potential of the host. (Meaning that holes are held in the host.)

10 4. The energy level of the LUMO of the blocking layer and the energy level of the LUMO of the host are sufficiently close in energy such that there is less than 50% change in the overall conductivity of the device.

15 5. The blocking layer is as thin as possible subject to having a thickness of the layer that is sufficient to effectively block the transport of excitons from the emissive layer into the adjacent layer.

[For a situation in which the emissive ("emitting") molecule is used without a hole transporting host, the above rules for selection of the blocking layer are modified by replacement of the word "host" by "emitting molecule."]

20

For the complementary situation with a blocking layer between a electron-conducting host and the hole-transporting layer one seeks characteristics (listed in order of importance):

25 1. The difference in energy between the LUMO and HOMO of the blocking layer is greater than the difference in energy between the triplet and ground state singlet of the host material.

2. Triplets in the host material are not quenched by the blocking layer.

30

3. The energy of the LUMO of the blocking layer is greater than the energy of the

LUMO of the (electron-transporting) host. (Meaning that electrons are held in the host.)

4. The ionization potential of the blocking layer and the ionization potential of the host are such that holes are readily injected from the blocker into the host and there is less than a 50% change in the overall conductivity of the device.

5. The blocking layer is as thin as possible subject to having a thickness of the layer that is sufficient to effectively block the transport of excitons from the emissive layer into the adjacent layer.

10 [For a situation in which the emissive ("emitting") molecule is used without an electron transporting host, the above rules for selection of the blocking layer are modified by replacement of the word "host" by "emitting molecule."]

15

IV. BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1. Proposed energy level structure of the electrophosphorescent device of Example 1. The highest occupied molecular orbital (HOMO) energy and the lowest unoccupied molecular orbital (LUMO) energy are shown (see I.G. Hill and A. Kahn, J. Appl. Physics (1999)). Note that the HOMO and LUMO levels for Ir(ppy)₃ are not known. The inset shows structural chemical formulae for

(a) Ir(ppy)₃ (b) CBP and (c) BCP.

25 Figure 2. The external quantum efficiency of OLEDs using Ir(ppy)₃ : CBP luminescent layers. Peak efficiencies are observed for a mass ratio of 6% Ir(ppy)₃ to CBP. The 100% Ir(ppy)₃ device has a slightly different structure than shown in Fig. 1. In it, the Ir(ppy)₃ layer is 300 Å thick and there is no BCP blocking layer. The efficiency of a 6% Ir(ppy)₃ : CBP device grown without a BCP layer is also shown.

Figure 3. The power efficiency and luminance of the 6% Ir(ppy)₃ : CBP device. At 100 cd/m², the device requires 4.3 V and its power efficiency is 19 lm/W.

5 Figure 4. The electroluminescent spectrum of 6% Ir(ppy)₃ : CBP. Inset: The Commission Internationale de L'Eclairage (CIE) chromaticity coordinates of Ir(ppy)₃ in CBP are shown relative to fluorescent green emitters Alq₃ and poly(p-phenylenevinylene) (PPV).

V. DETAILED DESCRIPTION OF THE INVENTION

10

The present invention is generally directed to emissive molecules, which luminesce when a voltage is applied across a heterostructure of an organic light-emitting device and which molecules are selected from the group of phosphorescent organometallic complexes, and to structures, and correlative molecules of the structures, that optimize the emission of the light-emitting device. The term "organometallic" is as generally understood by one of ordinary skill, as given, for example, in "Inorganic Chemistry" (2nd edition) by Gary L. Miessler and Donald A. Tarr, Prentice-Hall (1998). The invention is further directed to emissive molecules within the emissive layer of an organic light-emitting device which molecules are comprised of phosphorescent cyclometallated iridium complexes. On electroluminescence, molecules in this class may produce emission which appears red, blue, or green. Discussions of the appearance of color, including descriptions of CIE charts, may be found in Color Chemistry, VCH Publishers, 1991 and H. J. A. Dartnall, J. K. Bowmaker, and J. D. Mollon, Proc. Roy. Soc. B (London), 1983, 220, 25 115-130.

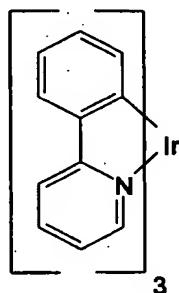
20 The present invention will now be described in detail for specific preferred embodiments of the invention, it being understood that these embodiments are intended only as illustrative examples and the invention is not to be limited thereto.

30

Examples

Example 1

In this example, we describe OLEDs employing the green, 5 electrophosphorescent material *fac* tris(2-phenylpyridine) iridium ($\text{Ir}(\text{ppy})_3$). This compound has the following formulaic representation:



10 The coincidence of a short triplet lifetime and reasonable photoluminescent efficiency allows $\text{Ir}(\text{ppy})_3$ -based OLEDs to achieve peak quantum and power efficiencies of 8.0% (28 cd/A) and ~30 lm/W respectively. At an applied bias of 4.3V, the luminance reaches 100cd/m² and the quantum and power efficiencies are 7.5% (26 cd/A) and 19 lm/W, respectively.

15 Organic layers were deposited by high vacuum (10^{-6} Torr) thermal evaporation onto a cleaned glass substrate precoated with transparent, conductive indium tin oxide. A 400Å thick layer of 4,4'-bis(N-(1-naphthyl)-N-phenyl-amino] biphenyl ((α -NPD) is used to transport holes to the luminescent layer consisting of $\text{Ir}(\text{ppy})_3$ in CBP. A 200Å thick layer of the electron transport material tris-(8-hydroxyquinoline) 20 aluminum (Alq_3) is used to transport electrons into the $\text{Ir}(\text{ppy})_3$:CBP layer, and to reduce $\text{Ir}(\text{ppy})_3$ luminescence absorption at the cathode. A shadow mask with 1 mm diameter openings was used to define the cathode consisting of a 1000Å thick layer of 25:1 Mg:Ag, with a 500Å thick Ag cap. As previously (O'Brien, et al., App. Phys. Lett. 1999, 74, 442-444), we found that a thin (60Å) barrier layer of 2,9-dimethyl-4,7-diphenyl-1,10-phenanthroline (bathocuproine, or BCP) inserted between the CBP and the Alq_3 was necessary to confine excitons within the luminescent zone and hence 25

maintain high efficiencies. In O'Brien et al., *Appl. Phys. Lett.* 1999, 74, 442-444, it was argued that this layer prevents triplets from diffusing outside of the doped region. It was also suggested that CBP may readily transport holes and that BCP may be required to force exciton formation within the luminescent layer. In either case, the use of BCP clearly serves to trap excitons within the luminescent region. The molecular structural formulae of some of the materials used in the OLEDs, along with a proposed energy level diagram, is shown in Fig. 1.

Figure 2 shows the external quantum efficiencies of several $\text{Ir}(\text{ppy})_3$ -based OLEDs. The doped structures exhibit a slow decrease in quantum efficiency with increasing current. Similar to the results for the $\text{Alq}_3:\text{PtOEP}$ system the doped devices achieve a maximum efficiency (~8%) for mass ratios of $\text{Ir}(\text{ppy})_3:\text{CBP}$ of approximately 6-8%. Thus, the energy transfer pathway in $\text{Ir}(\text{ppy})_3:\text{CBP}$ is likely to be similar to that in $\text{PtOEP}:\text{Alq}_3$ (Baldo, et al., *Nature*, 1998, 395, 151; O'Brien, 1999, *op. cit.*) i.e. via short range Dexter transfer of triplets from the host. At low $\text{Ir}(\text{ppy})_3$ concentrations, the lumophores often lie beyond the Dexter transfer radius of an excited Alq_3 molecule, while at high concentrations, aggregate quenching is increased. Note that dipole-dipole (Förster) transfer is forbidden for triplet transfer, and in the $\text{PtOEP}:\text{Alq}_3$ system direct charge trapping was not found to be significant.

20 Example 2

In addition to the doped device, we fabricated a heterostructure where the luminescent region was a homogeneous film of $\text{Ir}(\text{ppy})_3$. The reduction in efficiency (to ~0.8%) of neat $\text{Ir}(\text{ppy})_3$ is reflected in the transient decay, which has a lifetime of only ~100ns, and deviates significantly from mono-exponential behavior. A 6% $\text{Ir}(\text{ppy})_3:\text{CBP}$ device without a BCP barrier layer is also shown together with a 6% $\text{Ir}(\text{ppy})_3:\text{Alq}_3$ device with a BCP barrier layer. Here, very low quantum efficiencies are observed to increase with current. This behavior suggests a saturation of nonradiative sites as excitons migrate into the Alq_3 , either in the luminescent region or adjacent to the cathode.

30 Example 3

In Fig. 3 we plot luminance and power efficiency as a function of voltage for the device of Example 1. The peak power efficiency is ~30 lm/W with a quantum efficiency of 8%, (28 cd/A). At 100cd/m², a power efficiency of 19 lm/W with a quantum efficiency of 7.5% (26 cd/A) is obtained at a voltage of 4.3V. The transient response of Ir(ppy)₃ in CBP is a mono-exponential phosphorescent decay of ~500ns, compared with a measured lifetime (e.g., King, et al., J. Am. Chem. Soc., 1985, 107, 1431-1432) of 2μs in degassed toluene at room temperature. These lifetimes are short and indicative of strong spin-orbit coupling, and together with the absence of Ir(ppy)₃ fluorescence in the transient response, we expect that Ir(ppy)₃ possesses strong intersystem crossing from the singlet to the triplet state. Thus all emission originates from the long lived triplet state. Unfortunately, slow triplet relaxation can form a bottleneck in electrophosphorescence and one principal advantage of Ir(ppy)₃ is that it possesses a short triplet lifetime. The phosphorescent bottleneck is thereby substantially loosened. This results in only a gradual decrease in efficiency with increasing current, leading to a maximum luminance of ~100,000 cd/m².

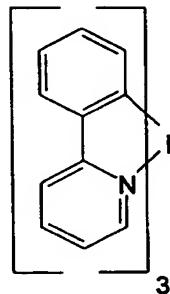
Example 4

In Fig. 4, the emission spectrum and Commission Internationale de L'Eclairage (CIE) coordinates of Ir(ppy)₃ are shown for the highest efficiency device. The peak wavelength is $\lambda=510\text{nm}$ and the full width at half maximum is 70nm. The spectrum and CIE coordinates ($x=0.27, y=0.63$) are independent of current. Even at very high current densities (~100 mA/cm²) blue emission from CBP is negligible - an indication of complete energy transfer.

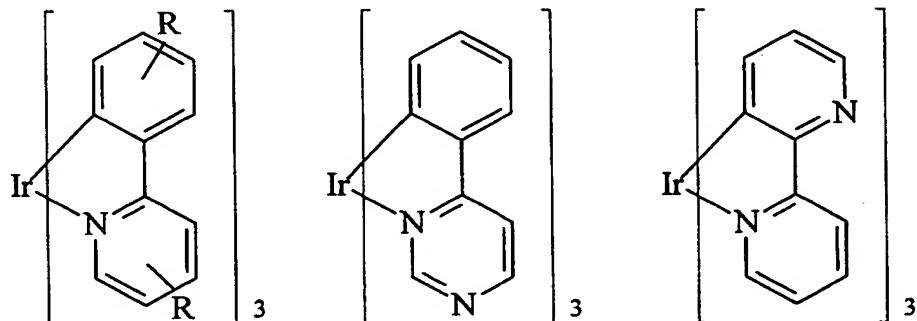
Other techniques known to one of ordinary skill may be used in conjunction with the present invention. For example, the use of LiF cathodes (Hung, et al., Appl. Phys. Lett., 1997, 70, 152-154), shaped substrates (G. Gu, et al., Optics Letters, 1997, 22, 396-398), and novel hole transport materials that result in a reduction in operating voltage or increased quantum efficiency (B. Kippelen, et al., MRS (San Francisco, Spring, 1999) are also applicable to this work. These methods have yielded power efficiencies of ~20 lm/W in fluorescent small molecule devices (Kippelen, Id.). The

quantum efficiency in these devices (Kido and Iizumi, *App. Phys. Lett.*, 1998, 73, 2721) at 100cd/m² is typically $\leq 4.6\%$ (lower than that of the present invention), and hence green-emitting electrophosphorescent devices with power efficiencies of, >40 lm/W can be expected. Purely organic materials (Hoshino and Suzuki, *Appl. Phys. Lett.*, 1996, 69, 224-226) may sometimes possess insufficient spin orbit coupling to show strong phosphorescence at room temperature. While one should not rule out the potential of purely organic phosphors, the preferred compounds may be transition metal complexes with aromatic ligands. The transition metal mixes singlet and triplet states, thereby enhancing intersystem crossing and reducing the lifetime of the triplet excited state.

The present invention is not limited to the emissive molecule of the examples. One of ordinary skill may modify the organic component of the Ir(ppy)₃ (directly below) to obtain desirable properties.



One may have alkyl substituents or alteration of the atoms of the aromatic structure.

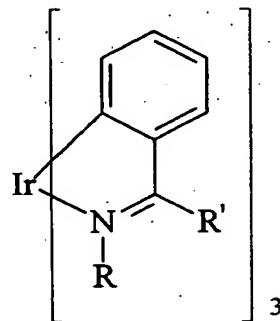


These molecules, related to Ir(ppy)₃, can be formed from commercially available ligands. The R groups can be alkyl or aryl and are preferably in the 3, 4, 7 and/or 8

positions on the ligand (for steric reasons). The compounds should give different color emission and may have different carrier transport rates. Thus, the modifications to the basic $\text{Ir}(\text{ppy})_3$ structure in the three molecules can alter emissive properties in desirable ways.

5

Other possible emitters are illustrated below.



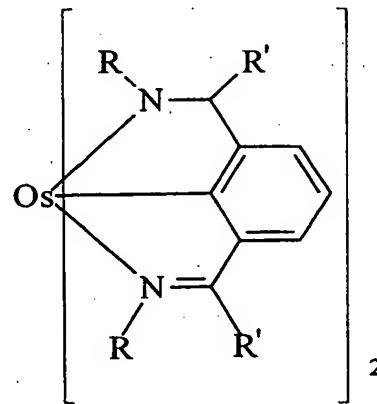
10

This molecule is expected to have a blue-shifted emission compared to $\text{Ir}(\text{ppy})_3$. R and R' can independently be alkyl or aryl.

Organometallic compounds of osmium may be used in this invention.

Examples are the following.

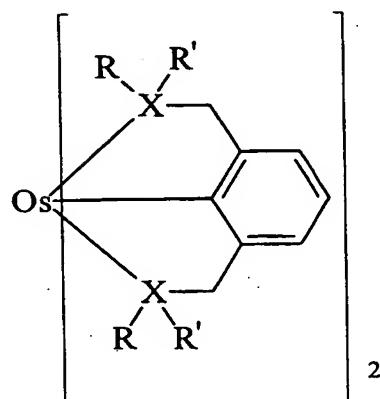
15



These osmium complexes will be octahedral with 6d electrons (isoelectronic with the

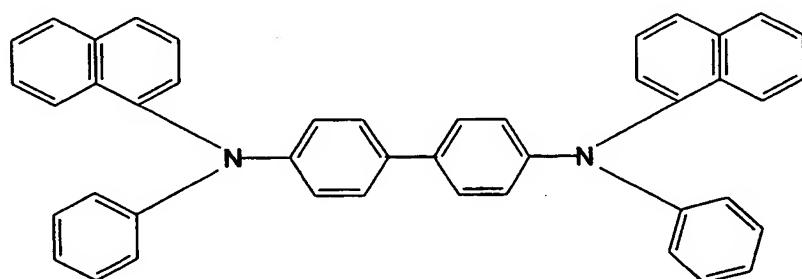
Ir analogs) and may have good intersystem crossing efficiency. R and R' are independently selected from the group consisting of alkyl and aryl. They are believed to be unreported in the literature.

5



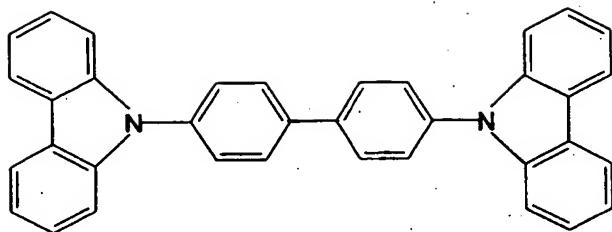
10 Herein, X can be selected from the group consisting of N or P. R and R' are independently selected from the group alkyl and aryl.

The molecule of the hole-transporting layer of Example 1 is depicted below.



15 The invention will work with other hole-transporting molecules known by one of ordinary skill to work in hole transporting layers of OLEDs.

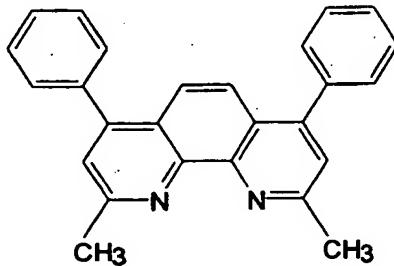
The molecule used as the host in the emissive layer of Example 1 is depicted below.



The invention will work with other molecules known by one of ordinary skill to work as hosts of emissive layers of OLEDs. For example, the host material could be a hole-transporting matrix and could be selected from the group consisting of substituted triaryl amines and polyvinylcarbazoles.

5 The molecule used as the exciton blocking layer of Example 1 is depicted below. The invention will work with other molecules used for the exciton blocking layer, provided they meet the requirements listed in the summary of the invention.

10



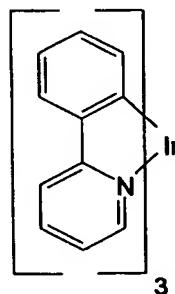
Molecules which are suitable as components for an exciton blocking layer are not necessarily the same as molecules which are suitable for a hole blocking layer. For example, the ability of a molecule to function as a hole blocker depends on the applied voltage, the higher the applied voltage, the less the hole blocking ability. The ability to block excitons is roughly independent of the applied voltage.

15 The OLED of the present invention may be used in substantially any type of device which is comprised of an OLED, for example, in OLEDs that are incorporated into a larger display, a vehicle, a computer, a television, a printer, a large area wall, theater or stadium screen, a billboard or a sign.

20

What is claimed is:

1. An electroluminescent layer comprising an emissive layer including an emissive molecule that is a phosphorescent organometallic iridium compound or a phosphorescent organometallic osmium compound.
- 5
2. The electroluminescent layer of claim 1 wherein the emissive layer comprises a host material and the phosphorescent organometallic compound is present as a guest in said host material.
- 10
3. The electroluminescent layer of claims 1 or 2 wherein said emissive molecule is a phosphorescent organometallic iridium compound.
- 15
4. The electroluminescent layer of claim 3 wherein the phosphorescent organometallic iridium compound is fac-tris(2-phenylpyridine) iridium, as denoted by the formula:

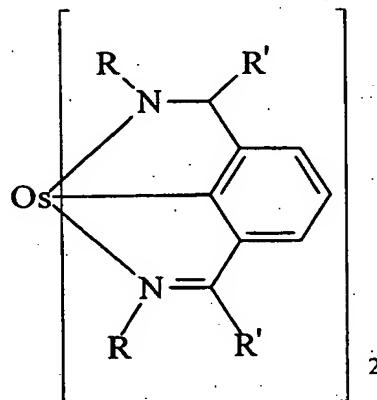


5. The electroluminescent layer of claim 3 wherein the phosphorescent organometallic iridium compound produces a green emission when a voltage is applied across the emissive layer.
- 20
6. The electroluminescent layer of claims 1 or 2 wherein said emissive molecule

is a phosphorescent organometallic osmium compound.

7. The electroluminescent layer of claim 6 wherein the phosphorescent organometallic osmium compound is denoted by the formula:

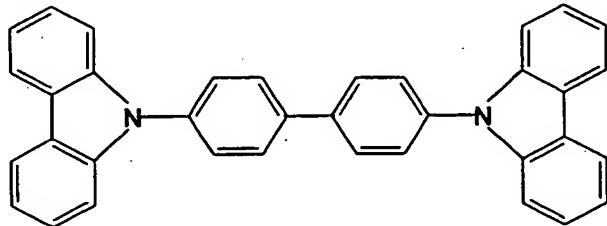
5



8. The electroluminescent layer of claim 2 wherein the host material is a hole-transporting material selected from the group consisting of substituted tri-aryl amines and polyvinylcarbazoles.

10

9. The electroluminescent layer of claim 8 wherein the hole transporting material comprises 4,4'-N,N'-dicarbazole-biphenyl as denoted by the formula:



10. The electroluminescent layer of claim 8 wherein the hole transporting material comprises 4,4'-bis[N-(1-naphthyl)-N-phenyl-amino] biphenyl.

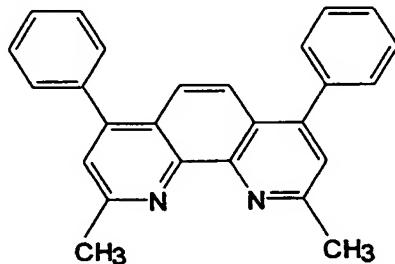
5 11. The electroluminescent layer of claim 2 wherein the host material is an electron transporting material.

12. The electroluminescent layer of claim 11 wherein the electron transporting material comprises tris-(8-hydroxyquinoline) aluminum.

10 13. The electroluminescent layer of claims 1 or 2 wherein the emissive layer further comprises a polarization dopant having a dipole moment.

14. The electroluminescent layer of claims 1 or 2 wherein the emissive layer is in contact with an exciton blocking layer.

15 15. The electroluminescent layer of claim 14 wherein the exciton blocking layer comprises 2,9-dimethyl-4,7-diphenyl-1,10-phenanthroline as denoted by the formula:



20 16. An organic light emitting device comprising a heterostructure containing an emissive layer that produces luminescent emission when a voltage is applied across the heterostructure, wherein the emissive layer includes a molecule that is a phosphorescent organometallic iridium compound or a phosphorescent

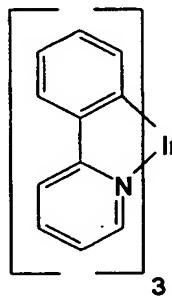
organometallic osmium compound.

17. The organic light emitting device of claim 16 wherein the emissive layer comprises a host material and the phosphorescent organometallic compound is present as a guest in said host material.

5

18. The organic light emitting device of claims 16 or 17 wherein said emissive molecule is a phosphorescent organometallic iridium compound.

10 19. The organic light emitting device of claim 18 wherein the phosphorescent organometallic iridium compound is fac-tris(2-phenylpyridine) iridium, as denoted by the formula:



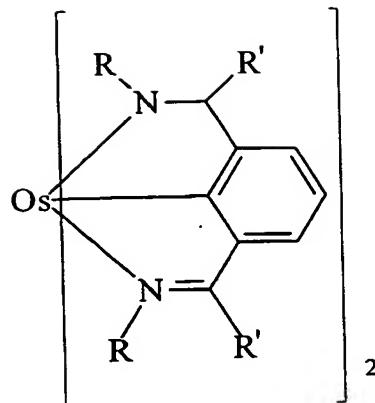
20. The organic light emitting device of claim 18 wherein the phosphorescent organometallic iridium compound produces a green emission when a voltage is applied across the emissive layer.

15

21. The organic light emitting device of claims 16 or 17 wherein said emissive molecule is a phosphorescent organometallic osmium compound.

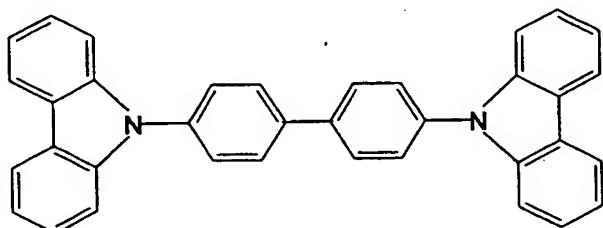
20

22. The organic light emitting device of claim 21 wherein the phosphorescent organometallic osmium compound is denoted by the formula:



23. The organic light emitting device of claim 17 wherein the host material is a hole-transporting material selected from the group consisting of substituted tri-
5 aryl amines and polyvinylcarbazoles.

24. The organic light emitting device of claim 23 wherein the hole transporting material comprises 4,4'-N,N'-dicarbazole-biphenyl as denoted by the formula:



10

25. The organic light emitting device of claim 17 wherein the host material is an electron transporting material.

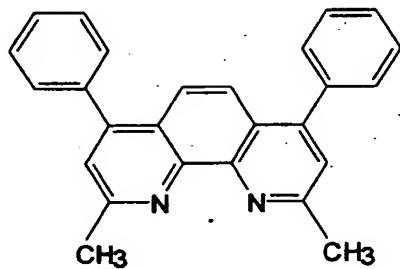
26. The organic light emitting device of claim 25 wherein the electron transporting material comprises tris-(8-hydroxyquinoline) aluminum.

27. The organic light emitting device of claims 16 or 17 wherein the emissive layer further comprises a polarization dopant having a dipole moment.

5

28. The organic light emitting device of claims 16 or 17 wherein the emissive layer is in contact with an exciton blocking layer.

10. 29. The organic light emitting device of claim 28 wherein the exciton blocking layer comprises 2,9-dimethyl-4,7-diphenyl-1,10-phenanthroline as denoted by the formula:



15

30. A device comprising the organic light emitting device of claim 16 wherein the device is selected from the group consisting of a display, a vehicle, a computer, a television, a printer, a wall, a theater, a stadium screen, a billboard, and a sign.

1/4

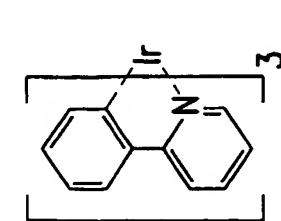
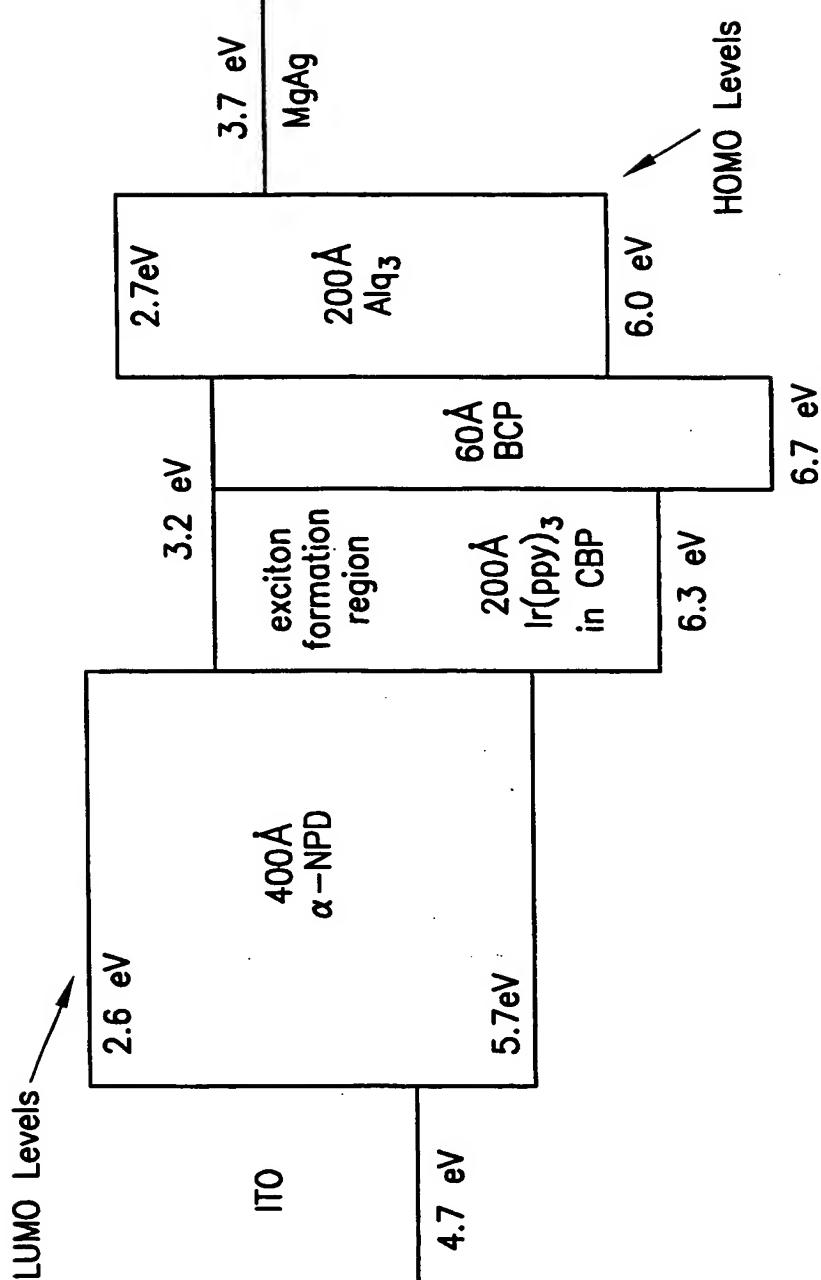


Fig. 1a

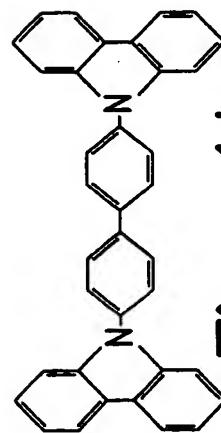


Fig. 1b

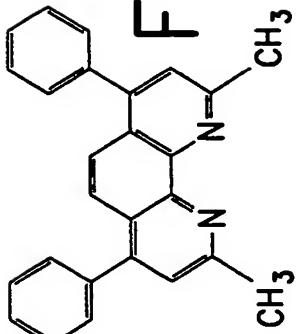


Fig. 1c

2/4

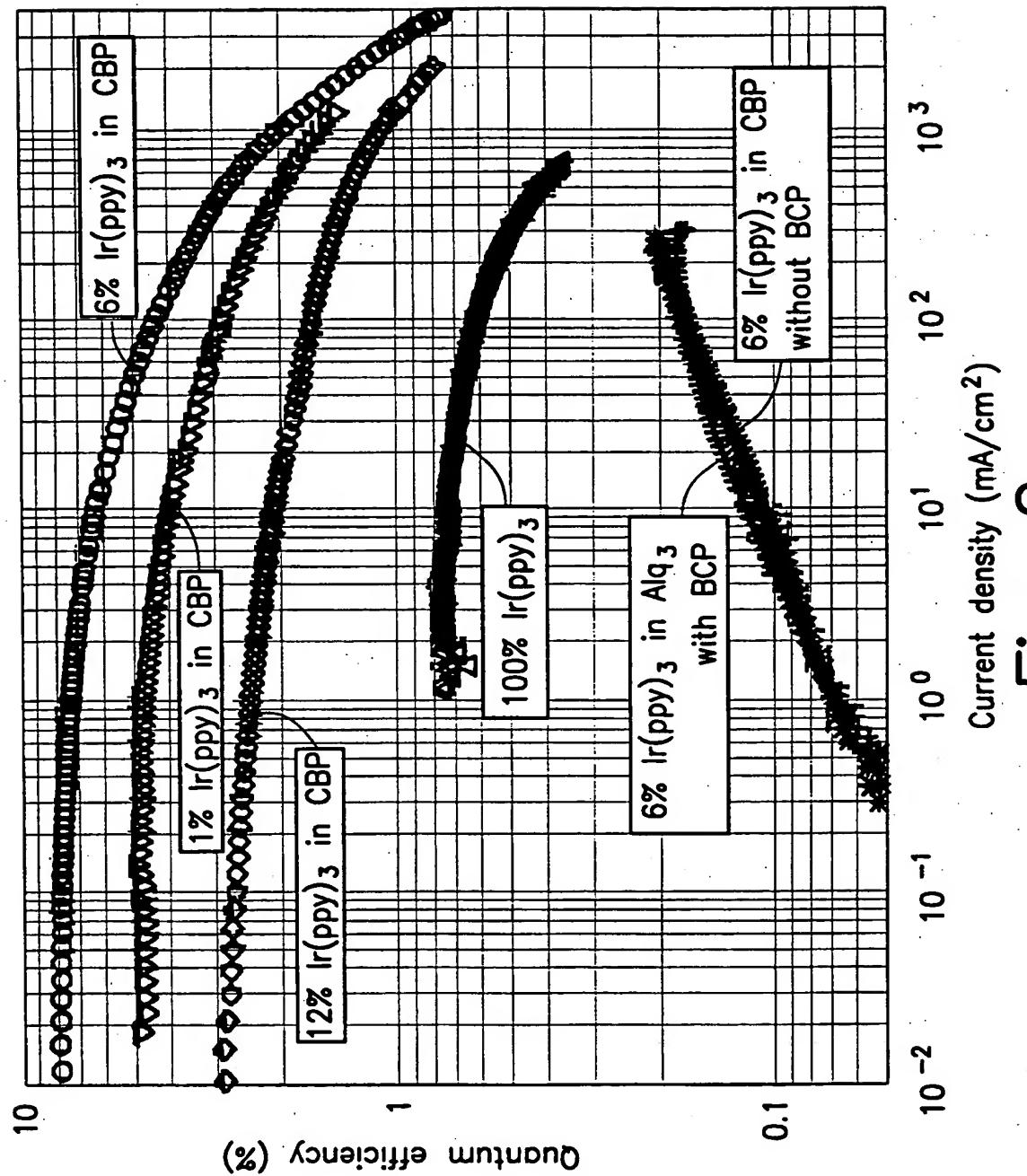


Fig. 2

3/4

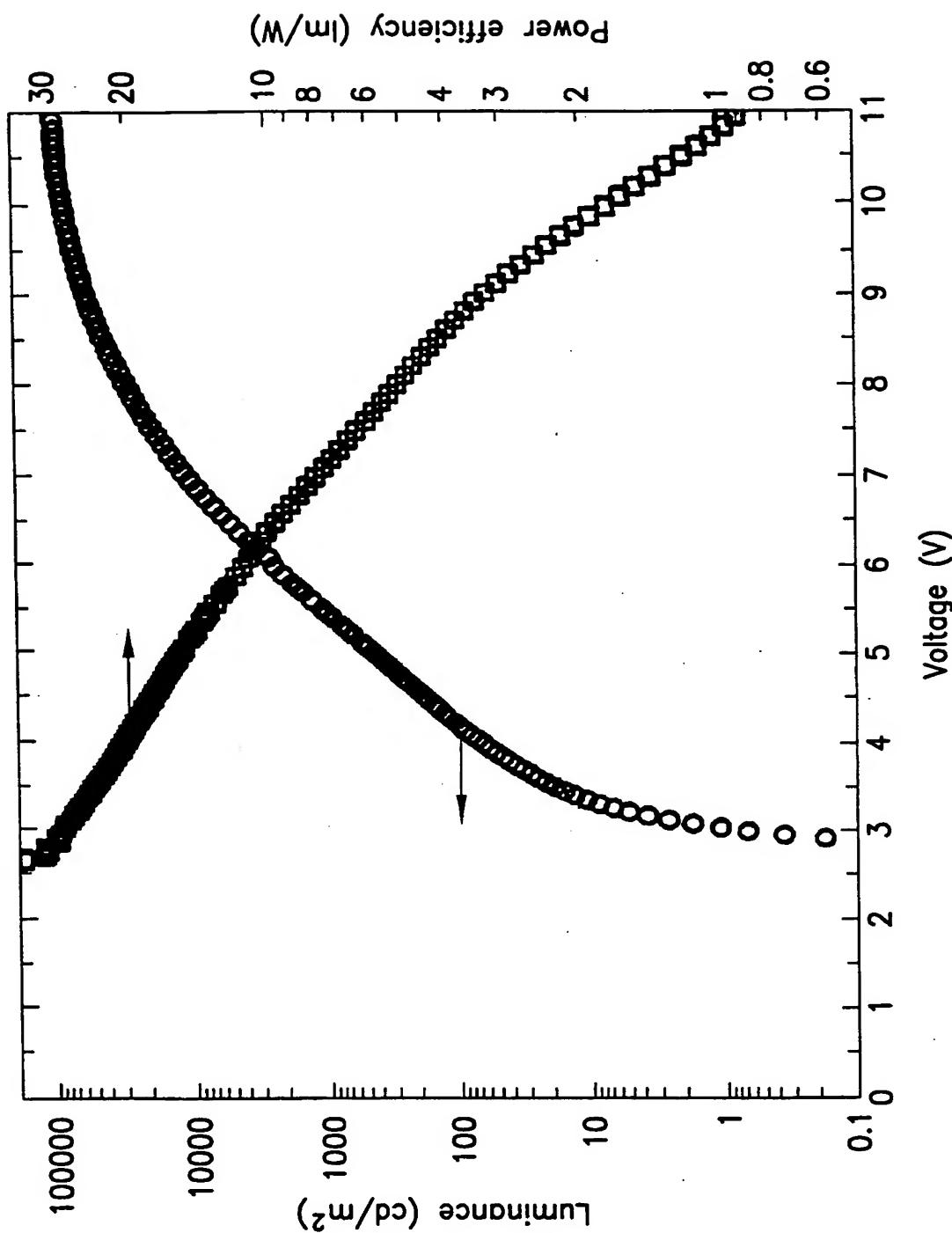


Fig. 3

4/4

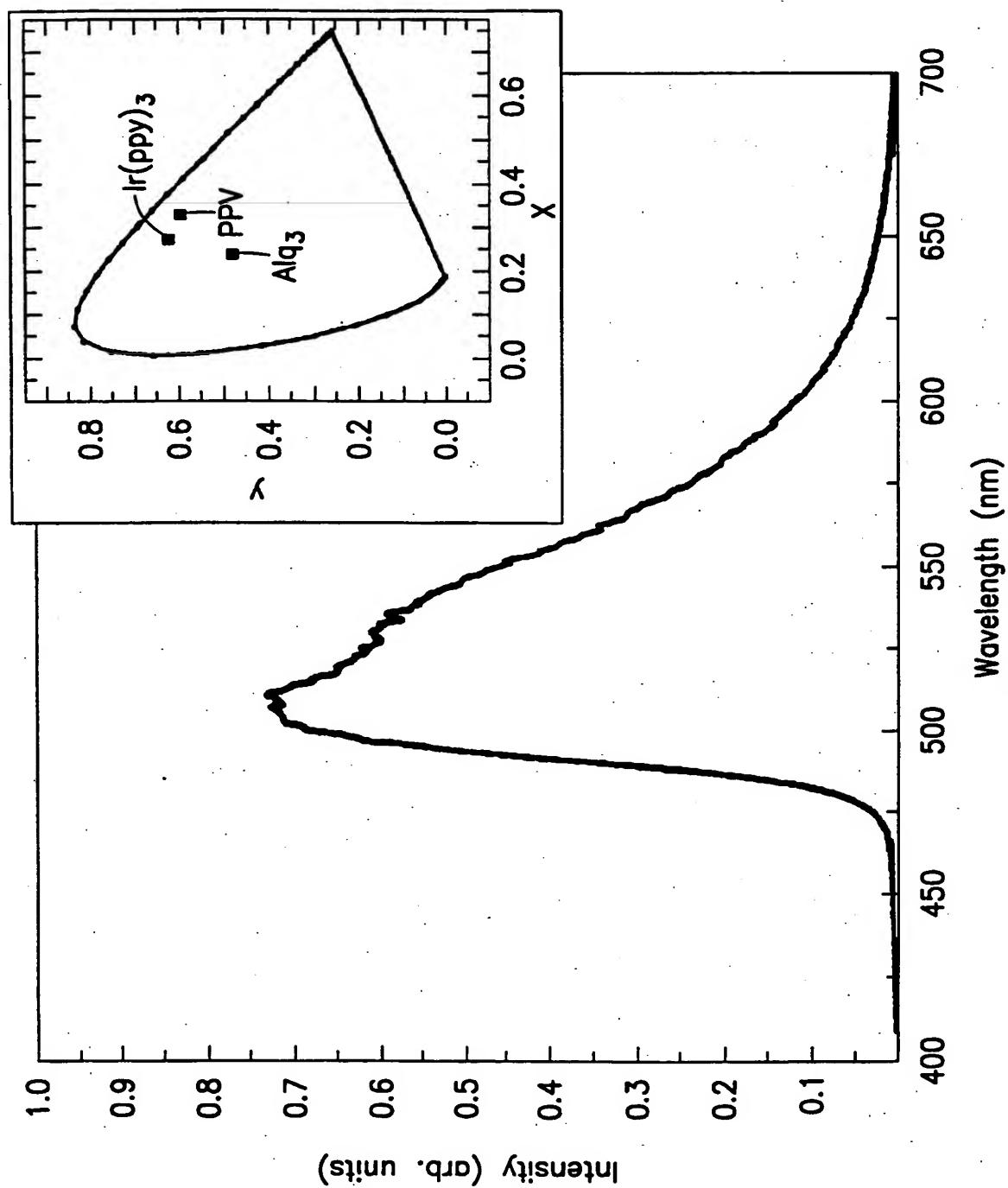


Fig. 4

THIS PAGE BLANK (verso)

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

THIS PAGE BLANK (USPTO)